

Electronic Acknowledgement Receipt

EFS ID:	5775671
Application Number:	10627626
International Application Number:	
Confirmation Number:	4309
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
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Filer:	Shawn W. Odowd
Filer Authorized By:	
Attorney Docket Number:	10392/460043
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940

RAM confirmation Number	2915
Deposit Account	110600
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE01.pdf	107963 c089c2d8f72f3d95e441bc8d8d98e1fe12 e93cb	no	1

Warnings:

This is not a USPTO supplied RCE SB30 form.

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	32108 f1a904a27bf1c1a25c8663c36eb8f0ff1b1c0 0	no	2
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Warnings:

Information:

Total Files Size (in bytes):	140071
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.